

Meeting: Audit Committee

Date: 22nd March 2023

Wards Affected: None

Report Title: Risk governance process for our integrated Adult Social Care Services

Is the decision a key decision? No

When does the decision need to be implemented? N/A

Cabinet Member Contact Details: Councillor Stockman, Cabinet Member for Adults & Public Health Jackie.stockman@torbay.gov.uk and Councillor Carter, Cabinet Member for Equality & Diversity and Corporate & Community Services christine.carter@torbay.gov.uk

Supporting Officer(s) Contact Details: Joanna Williams, Director of Adult Social Services, Joanna.williams@torbay.gov.uk and Eve Bates, Senior Performance & Risk Officer, eve.bates@torbay.gov.uk

1. Introduction

- 1.1 At Audit Committee held on 26th January 2022, the council's Section 151 Officer shared a letter from ASW Assurance. ASW Assurance provide internal audit, counter fraud and consultancy services to Torbay and South Devon NHS Foundation Trust. The letter summarised their assurance findings in relation to the adult social care services provided to the council by the Trust for 2020/21. ([Audit Committee Agenda 26th January 2022](#)) (Page 48).
- 1.2 On reviewing the letter, members of Audit Committee questioned the Section 151 Officer if this letter was sufficient reassurance for the council. The council's Section 151 Officer provided a verbal response and following the meeting requested that the Senior Performance and Risk Officer formulate the Council's and Trust's risk assurance arrangements into a written process.
- 1.3 The process is attached to this report at Appendix A and will be appended to the council's Risk Management Framework document.

2. Formulating The Risk Governance Processes

- 2.1 The Senior Performance and Risk Officer has worked in conjunction with the Director of Adult Social Services (DASS), the Head of ASW Assurance and the Head of Devon Audit Partnership to draft the process.
- 2.2 A meeting took place between to the two audit providers where they discussed and shared their existing arrangements before providing a formal response to the DASS.

- 2.3 Separately, the DASS considered the arrangements as stated in the existing Strategic Agreement and suggested some minor changes.
- 2.4 The Senior Performance and Risk Officer met with the Head of ASW Assurance to discuss the initial draft and suggested changes. The final draft was shared with the Trust's Deputy Chief Finance Officer and the Council's Section 151 Officer(s) and both organisation's auditors for comment before being brought forward to Audit Committee as the final version set out in Appendix A.
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3. Recommendation(s) / Proposed Decision

- (i) That Audit Committee notes Appendix A, which will be appended to the council's Risk Management Framework document.

Appendices

Appendix A: Risk Governance Process For Our Integrated Adult Social Care Service – March 2023

Background Documents

Report clearance:	This report has been reviewed and approved by:	Date:
Chief Executive	Anne-Marie Bond	9 th March 2023
Monitoring Officer	Amanda Barlow	9 th March 2023
Chief Finance Officer	Martin Phillips	10 th March 2023
Relevant Director/Assistant Director	Matthew Fairclough-Kay	9 th March 2023